

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 53921/189

First Inventor or Application Identifier PUPPA, G.

Title System and method for transmission of operations, administration and maintenance packets between ATM and switching networks upon failures

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status. See 37 CFR127.

3. Specification [Total Pages 27]
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross-References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 7]

5. Oath or Declaration [Total Sheets 3]

- a. Newly executed (original or copy)
- b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b)

6. Application Data Sheet. See CFR 1.76

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix).

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. Computer Readable
- b. Specification Sequence Listing on:
 - (i) CD-ROM or CD-R (2 copies); or
 - (ii) paper
- c. Statement verifying identity of above copies

ACCOMPANY APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 C.F.R. 3.73(b) Statement Power of Attorney
(when there is an assignee)

11. English Translation Document (if applicable)

12. Information Disclosure Statement (DS)/PTO-1499 Copies of IDS Citations

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Application must attach form PTO/SB/35 or its equivalent.

17. Other
.....

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment: or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____ / _____
Prior Application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 27871
(Insert Customer No. or Attach Bar code label here) or Correspondence address below

Name	BLAKE, CASSELS & GRAYDON LLP				
Address	Box 25, Commerce Court West 199 Bay Street				
City	Toronto	State	Ontario	Zip Code	M5L 1A9
Country	Canada	Telephone	416.863.2400		Fax 416.863.2653

Name (Print/Type)	Robert H. Nakano	Registration No. (Attorney/Agent)	46,498
Signature			Date
		Dec 4, 2001	

FEE TRANSMITTAL

for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$780.00)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	PUPPA, G.
Examiner Name	
Group/Art Unit	
Attorney Docket No.	53921/189

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2553
Deposit Account Name Blake, Cassels & Graydon LLP

Charge Any Additional Fee Required Under 37 CFR §§1.16 and 1.17

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)				(\$)	740

2. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims		-20**=		
Independent Claims		-3**=		
Multiple Dependent				

**or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				(\$)

3. ADDITIONAL FEES	Fee Description	Fee Paid
105	130	205
127	50	227
139	130	139
147	2,520	147
112	920*	112
113	1,840*	113
115	110	215
116	390	216
117	890	217
118	1,390	218
128	1,890	228
119	310	219
120	310	220
121	270	221
138	1,510	138
140	110	240
141	1,240	241
142	1,240	242
143	440	243
144	600	244
122	130	122
123	50	123
126	180	126
581	40	581
146	710	246
149	710	249
179	710	279
169	900	169
Other fee (specify)		
*Reduced by Basic Filing Fee Paid		
SUBTOTAL (3) (\$40)		

710

Complete (if applicable)

Name (Print/Type)	Robert H. Nakano	Registration No. (Attorney/Agent)	46,498	Telephone	416.863.2785
Signature				Date	Dec 14, 2001